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PTO/SB/21 (08-00)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

Application Number	09/189,702
Filing Date	November 10, 1998
First Named Inventor	Alessandro SETTE
Group Art Unit	1644
Examiner Name	Ronald B. Schwadron
Total Number Of Pages In This Submission	14
Attorney Docket No.	399632001920

### ENCLOSURES (check all that apply)

- |   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers<br>(for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                                 |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences             |
| <input type="checkbox"/> Amendment / Reply  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)   |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations  | <input type="checkbox"/> Petition to Convert to a Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                                      | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return postcard |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Terminal Disclaimer   |   |
| <input checked="" type="checkbox"/> Information Disclosure Statement;<br>PTO form 1449; | <input type="checkbox"/> Request for Refund  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application           |  |   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53         |  |   |
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Remarks

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### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Bruce D. Grant Registration No. 47,608
Signature	
Date	July 5, 2002

### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Jose Luna

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# FEE TRANSMITTAL FOR FY 2002

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Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$180.00)

Completer If Known	
Application Number	09/189,702
Filing Date	November 10, 1998
First Named Inventor	Alessandro SETTE
Examiner Name	Ronald B. Schwadron
Group Art Unit	1644
Attorney Docket No.	399632001920

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## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952

Deposit Account Name Morrison & Foerster LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	Fee Paid
105	130		205	Surcharge - late filing fee or oath		
127	50		227	Surcharge - late provisional filing fee or cover sheet		
139	130		139	Non-English specification		
147	2,520		147	For filing a request for ex parte reexamination		
112	920*		112	Requesting publication of SIR prior to Examiner action		
113	1,840*		113	Requesting publication of SIR after Examiner action		
115	110		215	Extension for reply within first month		
116	400		216	Extension for reply within second month		
117	920		217	Extension for reply within third month		
118	1,440		218	Extension for reply within fourth month		
128	1,960		228	Extension for reply within fifth month		
119	320		219	Notice of Appeal		
120	320		220	Filing a brief in support of an appeal		
121	280		221	Request for oral hearing		
138	1,510		138	Petition to institute a public use proceeding		
140	110		240	Petition to revive - unavoidable		
141	1,280		241	Petition to revive - unintentional		
142	1,280		242	Utility issue fee (or reissue)		
143	460		243	Design issue fee		
144	620		244	Plant issue fee		
122	130		122	Petitions of the Commissioner		
123	50		123	Petitions related to provisional applications		
126	180		126	Submission of Information Disclosure Stmt	180.00	
581	40		581	Recording each patent assignment per properties (times number of properties)		
146	740		246	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	740		249	For each additional invention to be examined (37 CFR § 1.129(b))		
179	740		279	Request for Continued Examination (RCE)		
169	900		169	Request for expedited examination of a design application		

SUBTOTAL (1) (\$0.00)

## 2. EXTRA CLAIM FEES

Total Claims	- 20** -	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 3** -	x	= \$	
Multiple Dependent			= \$	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) <span style="border: 1px solid black; padding: 2px;">(\$0.00)</span>				

\*\* or number previously paid, if greater; For reissues, see above.

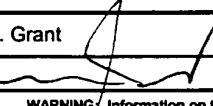
Other fee (specify) 

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Bruce D. Grant	Registration No. (Attorney/Agent)	47,608	Telephone	(858) 720-7962
Signature				Date	July 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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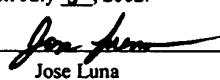


PATENT  
Docket No. 399632001920

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22

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Jose Luna

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Alessandro SETTE, et al.

Serial No.: 09/189,702

Filing Date: November 10, 1998

For: HLA BINDING PEPTIDES AND THEIR  
USES

Examiner: Ronald B. Schwadron

Group Art Unit: 1644

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SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 08/205,713, filed March 4, 1994, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

07/16/2002 NM0HMM1 00000104 031952 09189702

01 FC:126 180.00 CH

This application is a continuation-in-part of USSN 08/205,713 filed March 4, 1994; which is a continuation-in-part of U.S. Application No. 08/159,184 filed November 29, 1993, now abandoned; which is a continuation-in-part of U.S. Application No. 08/073,205 filed June 4, 1993, now abandoned; which is a continuation-in-part of U.S. Application No. 08/027,146 filed March 5, 1993, now abandoned. This application is also related to USSN 09/098,584, USSN 10/121,415, USSN 09/017,735, USSN 08/753,622, USSN 08/822,382, USSN 60/013,980, USSN 08/589,108, USSN 08/454,033, and USSN 08/349,177. This application is also related to USSN 09/017,524, USSN 08/821,739, USSN 60/013,833, USSN 08/758,409, USSN 08/589,107, USSN 08/451,913, USSN 08/347, 610, USSN 08/186,266, USSN 08/159,339, USSN 09/116,061, USSN 08/103,396, USSN 08/027,746, and USSN 07/926,666. This application is also related to USSN 09/017,743, USSN 08/753,615, USSN 08/590,298, USSN 08/452,843, USSN 09/115,400, USSN 08/344,824, and USSN 08/278,634. This application is also related to USSN 08/197,484, USSN 08/815,396

This Information Disclosure Statement is submitted:

- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - A fee is required. A check in the amount of \* is enclosed.
  - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.

A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 399632001920. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 5, 2002

Respectfully submitted,

By:

  
Bruce D. Grant  
Registration No. 47,608

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